

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF WASHINGTON

SEFERINO SALAZAR,

Plaintiff,

v.

CAROLYN W. COLVIN,  
Commissioner of Social Security,

Defendant.

No. CV-12-03126-JTR

ORDER GRANTING PLAINTIFF'S  
MOTION FOR SUMMARY  
JUDGMENT

**BEFORE THE COURT** are cross-Motions for Summary Judgment. ECF Nos. 15, 17. Attorney D. James Tree represents Plaintiff; Special Assistant United States Attorney Daphne Banay represents the Commissioner of Social Security (Defendant). The parties have consented to proceed before a magistrate judge. ECF No. 6. After reviewing the administrative record and the briefs filed by the parties, the court **GRANTS** Plaintiff's Motion for Summary Judgment and **DENIES** Defendant's Motion for Summary Judgment.

**JURISDICTION**

On June 5, 2008, Plaintiff filed a Title II application for a period of disability and disability insurance benefits, along with a Title XVI application for supplemental security income, both alleging disability beginning February 15, 2008. Tr. 22; 229. Plaintiff reported that he could not work due to bipolar disorder, neck pain, spine pain, bladder problems, and mental issues. Tr. 233. Plaintiff's claim was denied initially and on reconsideration, and he requested a hearing before an administrative law judge (ALJ). Tr. 22; 82-133. A hearing was

1 held on May 25, 2010, at which vocational expert Daniel McKinney, medical  
2 experts Stephen Gerber, M.D., Thomas McKnight, Ph.D., and Plaintiff, who was  
3 represented by counsel, testified. Tr. 45-81. ALJ Marie Palachuk presided. Tr.  
4 45. The ALJ denied benefits on April 22, 2011. Tr. 22-36. The instant matter is  
5 before this court pursuant to 42 U.S.C. § 405(g).

### 6 **STATEMENT OF FACTS**

7 The facts have been presented in the administrative hearing transcript, the  
8 ALJ's decision, and the briefs of the parties and, thus, they are only briefly  
9 summarized here. At the time of the hearing, Plaintiff was 41 years old and living  
10 with his parents. Tr. 68. He dropped out of high school after completing the ninth  
11 grade. Tr. 69. Three days per week Plaintiff cared for his four-year old daughter  
12 while his girlfriend, who lived elsewhere, went to work. Tr. 69-70.

13 Plaintiff has worked in many different jobs, usually for only a brief period at  
14 each job. Plaintiff's work history includes jobs such as a hand packager,  
15 merchandiser, call center customer service representative, production worker,  
16 assembler at a bow manufacturing plant, customer service clerk for medical supply  
17 company, warehouse worker, laundry worker, and janitor. Tr. 71-72. Plaintiff  
18 worked for 73 different employers between 1996 and 2008. Tr. 186-228.

19 At the administrative hearing, Plaintiff attempted to explain the reasons why  
20 he had been fired from several jobs:

21 Too slow, because of my having to hold in my urine – my, my  
22 need to urinate, I, you know, would constantly – I mean, over the  
23 years, this got worse. I mean, you know, the records show that since  
24 1990, you know, I started having bladder problems. But I also know I  
25 – you know, in '86, I suffered a traumatic brain injury, and it just  
26 seems to me, you know, it's, you know, I mean, it doesn't take a  
27 rocket scientist to, you know, kind of put two and two together. I just  
28 would get fired because I was too slow, and I was too preoccupied  
with what people thought of me, you know, how they were going to  
sabotage me, how they were going to hurt me physically and/or

1 mentally. I would think this of the – my supervisors and office  
2 people, how they were going to manipulate or – my personal records.  
3 I was socially withdrawn. I, you know, I'd not talk to anyone. If I  
4 was spoken to, I'd get very upset, which would end up in, you know,  
5 later on, in confrontations, arguments, disagreements. I wouldn't  
6 agree with, you know, what they were saying about my work. So, I'd  
7 get fired because I was too antagonistic, too confrontational, too  
8 hostile. And I would be too slow. I – you know, for – I wouldn't get  
9 enough sleep. I'd average five hours of sleep.

10 Tr. 62-63.

11 Plaintiff described a normal day as including repeated trips to the bathroom,  
12 watching television, making meals, playing with his daughter when she is with  
13 him, shopping and using a computer. Tr. 66.

#### 14 STANDARD OF REVIEW

15 In *Edlund v. Massanari*, 253 F.3d 1152, 1156 (9th Cir. 2001), the court set  
16 out the standard of review:

17 A district court's order upholding the Commissioner's denial of  
18 benefits is reviewed de novo. *Harman v. Apfel*, 211 F.3d 1172, 1174  
19 (9th Cir. 2000). The decision of the Commissioner may be reversed  
20 only if it is not supported by substantial evidence or if it is based on  
21 legal error. *Tackett v. Apfel*, 180 F.3d 1094, 1097 (9th Cir. 1999).  
22 Substantial evidence is defined as being more than a mere scintilla,  
23 but less than a preponderance. *Id.* at 1098. Put another way,  
24 substantial evidence is such relevant evidence as a reasonable mind  
25 might accept as adequate to support a conclusion. *Richardson v.*  
26 *Perales*, 402 U.S. 389, 401 (1971). If the evidence is susceptible to  
27 more than one rational interpretation, the court may not substitute its  
28 judgment for that of the Commissioner. *Tackett*, 180 F.3d at 1097;  
*Morgan v. Commissioner of Social Sec. Admin.*, 169 F.3d 595, 599  
(9th Cir. 1999).

29 The ALJ is responsible for determining credibility, resolving conflicts in  
30 medical testimony, and resolving ambiguities. *Andrews v. Shalala*, 53 F.3d 1035,  
31 1039 (9th Cir. 1995). The ALJ's determinations of law are reviewed *de novo*,

1 although deference is owed to a reasonable construction of the applicable statutes.  
 2 *McNatt v. Apfel*, 201 F.3d 1084, 1087 (9th Cir. 2000).

3 It is the role of the trier of fact, not this court, to resolve conflicts in  
 4 evidence. *Richardson*, 402 U.S. at 400. If evidence supports more than one  
 5 rational interpretation, the court may not substitute its judgment for that of the  
 6 Commissioner. *Tackett*, 180 F.3d at 1097; *Allen v. Heckler*, 749 F.2d 577, 579  
 7 (9th Cir. 1984). Nevertheless, a decision supported by substantial evidence will  
 8 still be set aside if the proper legal standards were not applied in weighing the  
 9 evidence and making the decision. *Browner v. Secretary of Health and Human*  
 10 *Services*, 839 F.2d 432, 433 (9th Cir. 1988). If substantial evidence exists to  
 11 support the administrative findings, or if conflicting evidence exists that will  
 12 support a finding of either disability or non-disability, the Commissioner's  
 13 determination is conclusive. *Sprague v. Bowen*, 812 F.2d 1226, 1229-1230 (9th  
 14 Cir. 1987).

### 15 SEQUENTIAL PROCESS

16 The Commissioner has established a five-step sequential evaluation process  
 17 for determining whether a person is disabled. 20 C.F.R. §§ 404.1520(a),  
 18 416.920(a); see *Bowen v. Yuckert*, 482 U.S. 137, 140-42 (1987). In steps one  
 19 through four, the burden of proof rests upon the claimant to establish a prima facie  
 20 case of entitlement to disability benefits. *Tackett*, 180 F.3d at 1098-99. This  
 21 burden is met once a claimant establishes that a physical or mental impairment  
 22 prevents him from engaging in his previous occupation. 20 C.F.R. §§  
 23 404.1520(a)(4), 416.920(a)(4). If a claimant cannot do his past relevant work, the  
 24 ALJ proceeds to step five, and the burden shifts to the Commissioner to show that  
 25 (1) the claimant can make an adjustment to other work; and (2) specific jobs exist  
 26 in the national economy which claimant can perform. *Batson v. Commissioner of*  
 27 *Social Sec. Admin.*, 359 F.3d 1190, 1193-94 (2004). If a claimant cannot make an  
 28 adjustment to other work in the national economy, the claimant is deemed

1 disabled. 20 C.F.R. §§ 404.1520(a)(4)(I-v), 416.920(a)(4)(I-v).

## 2 **ALJ'S FINDINGS**

3 At step one of the sequential evaluation, the ALJ found Plaintiff has not  
4 engaged in substantial gainful activity since February 15, 2008, his alleged onset  
5 date. Tr. 24. At step two, the ALJ found Plaintiff suffered from the severe  
6 impairments of history of renal cancer surgically treated in 2004, degenerative disk  
7 disease of the cervical spine, possible history of interstitial cystitis, dysthymia,  
8 social phobia with intermittent anxiety, antisocial personality disorder,  
9 methamphetamine dependence in remission, and alcohol abuse in partial remission.  
10 Tr. 24. At step three, the ALJ found Plaintiff's impairments, alone and in  
11 combination, did not meet or medically equal one of the listed impairments. Tr.  
12 25. The ALJ determined that Plaintiff had the residual functional capacity  
13 ("RFC") to perform light work as defined in 20 C.F.R. §§ 404.1567(b) and  
14 416.967(b), with the limitations of avoiding climbing ladders, ropes, and scaffolds  
15 and occasionally climbing stairs and ramps, balance, stoop, crouch, kneel and  
16 crawl, and he is limited to superficial interaction with the general public and  
17 coworkers. Tr. 26. At step four, the ALJ found that Plaintiff is capable of  
18 performing past relevant work as a customer service clerk, call center customer  
19 service representative, and assembler. Tr. 35. The ALJ concluded Plaintiff was  
20 not disabled as defined by the Social Security Act. Tr. 35.

## 21 **ISSUES**

22 Plaintiff contends the ALJ erred by Plaintiff argues that the ALJ erred by (1)  
23 rejecting several medical opinions; (2) determining Plaintiff had little credibility;  
24 and (3) conducting an improper step four assessment.<sup>1</sup> ECF No. 15 at 11.

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25  
26 <sup>1</sup>Plaintiff's brief combines the issues of the ALJ's assessment of the medical  
27 evidence with the ALJ's determination of credibility. ECF No. 15 at 11; 16. For  
28 clarity, the court analyzes these issues separately.

## DISCUSSION

### A. Medical Opinions

Plaintiff contends that the ALJ erred by rejecting several opinions from his treating and examining doctors. ECF No. 15 at 13-16. As a general rule, more weight should be given to the opinion of a treating source than to the opinion of doctors who do not treat the claimant. *Lester v. Chater*, 81 F.3d 821, 830 (9<sup>th</sup> Cir. 1995). Where the treating doctor's opinion is not contradicted by another doctor, it may be rejected only for "clear and convincing" reasons. *Id.* Where the treating doctor's opinion is contradicted by another doctor, the ALJ may not reject this opinion without providing "specific and legitimate reasons" supported by substantial evidence in the record. *Murray v. Heckler*, 722 F.2d 499, 502 (9<sup>th</sup> Cir. 1983). Where a medical source's opinion is based largely on the Plaintiff's own subjective description of symptoms, and the ALJ has discredited the Plaintiff's claim as to those subjective symptoms, the ALJ may reject that opinion. *Fair v. Bowen*, 885 F.2d at 605; and see *Diaz v. Sec'y of Health & Human Servs.*, 898 F.2d 774, 777 (10<sup>th</sup> Cir. 1990) (Commissioner appropriately discounted claimant's nonexertional impairment complaints due to lack of corroborative evidence and consulting physician's suspicion that claimant was malingering). When providing reasons for rejecting opinion evidence, the ALJ should provide "a detailed and thorough summary of the facts and conflicting clinical evidence, stating his interpretation thereof, and making findings." *Reddick v. Chater*, 157 F.3d 715, 725 (9<sup>th</sup> Cir. 1998). The ALJ must do more than merely state his conclusions: "[h]e must set forth his own interpretations and explain why they, rather than the doctors', are correct." *Id.* (citing *Embrey v. Bowen*, 849 F.2d 418, 421-22 (9<sup>th</sup> Cir. 1988)). The ALJ must explain the weight assigned to "other" sources to the extent that a claimant or subsequent reviewer may follow the ALJ's reasoning. SSR 06-03p.

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1           **1. Dr. Rodenberger**

2           Plaintiff argues that the ALJ erred by rejecting Dr. Rodenberger's multiple  
3 treating notes and opinions contained in the chart notes because "his treatment  
4 notes offer significant insights into Mr. Salazar's limitations and condition and  
5 should have been given great weight as they are consistent with treatment notes  
6 and assessments from other providers." ECF No. 15 at 13-14.

7           On August 14, 2009, Philip D. Rodenberger, M.D., wrote a letter related to a  
8 deferred prosecution for Plaintiff. Tr. 1089-90. In the letter, Dr. Rodenberger  
9 opined that Plaintiff is "significantly impaired with a psychiatric disorder, probably  
10 exacerbated by head trauma occurring October 1, 2008." Tr. 1089. Dr.  
11 Rodenberger also stated that some of Plaintiff's behavior can be attributed to his  
12 head trauma, and his diagnosis is delusional disorder, but he also shows evidence  
13 of obsessive compulsive disorder, schizoaffective disorder, and mixed personality  
14 disorder with obsessive, paranoid and sociopathic features. Tr. 1089. Dr.  
15 Rodenberger indicated he believed Plaintiff was amenable to treatment for his  
16 mental illness. Tr. 1089.

17           The ALJ's analysis of Dr. Rodenberger's opinion is limited to providing  
18 reasons for rejecting the August 14, 2009, letter. Tr. 34. The ALJ ruled that the  
19 letter was "wholly inconsistent" with the doctor's chart note from April 2, 2009, no  
20 evidence existed to support the assertion Plaintiff had suffered significant head  
21 trauma on October 1, 2008, and the letter does not contain a medical source  
22 statement indicating Plaintiff's functional limitations. Tr. 34.

23           As the Plaintiff points out, the ALJ failed to address several records authored  
24 by Dr. Rodenberger that reveal relevant opinions about Plaintiff's impairments.  
25 ECF No. 15 at 13-14. For example, on April 2, 2009, Dr. Rodenberger noted that  
26 Plaintiff has been consistently described as "angry, demanding, manipulative, and  
27 dismissive," with "paranoid narcissistic, and sociopathic qualities." Tr. 648. Dr.  
28 Rodenberger noted that Plaintiff's speech was "coherent, but obsessively



1 digressive, tangential, and circumstantial.” Tr. 649. Dr. Rodenberger noted that  
2 Plaintiff presented a “diagnostic and treatment challenge,” and stated it was  
3 “striking” that previous reports failed to note Plaintiff’s “very pronounced  
4 obsessive cognitive style, which is in the service of his general paranoia and  
5 manipulateness.” Tr. 650. At that visit, the doctor also commented that Plaintiff  
6 was preoccupied with the shape of his nose, so much that he believed his nose  
7 caused difficulty with “communicating and feeling at ease,” and he demanded a  
8 doctor’s letter authorizing DSHS to pay for rhinoplasty. Tr. 647-48.

9 On May 7, 2009, Dr. Rodenberger observed, “His cognitive disorganization  
10 is quite striking. I don’t know if this has to do with previous head trauma or to a  
11 severe obsessive compulsive component. I am increasing his medication to see if  
12 we can decrease impulsivity and paranoia.” Tr. 699.

13 On June 23, 2009, Dr. Rodenberger noted that Plaintiff continued “to  
14 ramble on in his typical obsessive, digressive and overly inclusive fashion. His  
15 cognitive style reminds me of what has been described as the ‘[viscous]  
16 personality’ of individuals with epilepsy.”<sup>2</sup> Tr. 703. Dr. Rodenberger observed  
17 that Plaintiff “has been very impaired in a peculiar kind of way. What is most  
18 striking is his obsessive cognitive style with the [viscous] or sticky qualities noted  
19 previously.” Tr. 704.

20 On September 15, 2009, Dr. Rodenberger again commented on Plaintiff’s  
21 cognitive limits: “Once again, I am struck by this individual’s cognitive style  
22 which is characterized by a lot of obsessive preoccupations including a body  
23 dysmorphic type concern about the shape of his nose.” Tr. 718.

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24  
25 <sup>2</sup>“Clinical case reports suggest that viscosity, the behavioural tendency to  
26 talk repetitively and circumstantially about a restricted range of topics, is common  
27 in patients with temporal lobe epilepsy (TLE).” J. Neurology, neurosurgery &  
28 Psychiatry, February 1992, at 149-52.



1 On December 15, 2009, Dr. Rodenberger charted that Plaintiff continued to  
2 be “excessively preoccupied” with his nose and his demands for plastic surgery.  
3 Tr. 732.

4 The ALJ failed to address these chart notes from Dr. Rodenberger. The  
5 notes indicate, at a minimum, that Plaintiff was “very impaired” in an unusual way,  
6 and his thinking was “obsessive, digressive and overly inclusive.” Tr. 703-04. An  
7 ALJ is not required to discuss each item of evidence, but the record should indicate  
8 that all evidence presented was considered. *Craig v. Apfel*, 212 F.3d 433, 436 (8th  
9 Cir. 2000). Moreover, the ALJ must explain why significant probative evidence is  
10 rejected. *Vincent v. Heckler*, 739 F.2d 1393, 1394 95 (9th Cir. 1984). In this  
11 case, it is not apparent that the ALJ considered Dr. Rodenberger’s multiple  
12 references to Plaintiff’s impaired cognition. This treating doctor’s observations  
13 that Plaintiff was “very impaired,” is significant, probative evidence related to  
14 Plaintiff’s ability to obtain and sustain work. As a result, remand is required so the  
15 ALJ may properly consider all of Dr. Rodenberger’s opinions as reflected in his  
16 treating notes, and provide a “detailed and thorough summary of the  
17 facts and conflicting clinical evidence, stating [an] interpretation thereof, and  
18 making findings.” See *Reddick*, 157 F.3d at 725.

19 **2. Dennis Gaskill, M.D.**

20 Plaintiff contends that the ALJ erred by rejecting Dr. Gaskill’s opinion that  
21 Plaintiff would miss two to three days of work per month. ECF No. 15 at 14.

22 On November 23, 2010, Dennis M. Gaskill, M.D., completed a 13-item  
23 questionnaire. Tr. 1097-98. In answering the questions, Dr. Gaskill indicated  
24 Plaintiff’s diagnoses were renal cell carcinoma and chronic interstitial cystitis. Tr.  
25 1097. Dr. Gaskill stated Plaintiff’s symptoms included urinary urgency, frequency  
26 and bladder pain, and the doctor noted Plaintiff had a small capacity bladder. Tr.  
27 1097. Dr. Gaskill also stated that Plaintiff “takes naps” during the day, and Dr.  
28 Gaskill opined that on a more-probable-than-not basis, Plaintiff would miss work

1 2-3 days per month due to medical impairments. Tr. 1097-98.

2 The ALJ gave little weight to Dr. Gaskill's opinions on the questionnaire  
3 because Plaintiff had not been regularly treated by the clinic since 2006. Tr. 32.  
4 The ALJ stated that "the claimant stopped going to [Dr. Gaskill's] group in 2006  
5 and did not return until he requested this form be completed." Tr. 32. The ALJ  
6 concluded that Plaintiff was merely seeking treatment to bolster his disability  
7 claim. Tr. 32.

8 The ALJ cited no evidence to support his conclusory assertion that Plaintiff  
9 sought treatment from Dr. Gaskill merely to bolster his disability claim. *See*  
10 *Nguyen v. Chater*, 100 F.3d 1462, 1464-1465 (9th Cir. 1996) (ALJ impermissibly  
11 rejected an examining psychologist's diagnosis of depression where the claimant  
12 did not seek treatment for more than three years and then consulted the  
13 psychologist at the request of his attorney); *Cf. Ryan v. Comm'r of Social Sec.*, 528  
14 F.3d 1194, 1199 (9th Cir. 2008)(holding that the ALJ did not provide clear and  
15 convincing reasons for rejecting an examining physician's opinion by questioning  
16 the credibility of the claimant's complaints where the doctor did not discredit those  
17 complaints and supported his or her ultimate opinion with clinical observations and  
18 mental status examination findings). The ALJ's reason for rejecting Plaintiff's  
19 treating physician opinion is not specific and legitimate and, thus, the ALJ erred by  
20 rejecting Dr. Gaskill's opinions on these grounds. On remand, the ALJ should  
21 reconsider Dr. Gaskill's opinion and provide a new analysis. *See Reddick*, 157  
22 F.3d at 725.

23 **3. Margaret A. MacLeod, M.D.**

24 Plaintiff contends that the ALJ erred by rejecting Dr. MacLeod's opinion  
25 that Plaintiff would "need frequent interrupts to void." ECF No. 15 at 14.

26 On April 21, 2008, Margaret A. McLeod, M.D., completed a Physical  
27 Evaluation form. Tr. 401-04. Dr. MacLeod noted that Plaintiff's affect was "not  
28 normal; very intense, pressured speech, poor insight and judgment." Tr. 402. Dr.

1 McLeod indicated that Plaintiff had an irritable bladder, some pain with urination,  
2 and he reported he had to void every thirty minutes. Tr. 403. Dr. McLeod stated  
3 that “notes,” presumably medical records, indicated that from 1999-2001, Plaintiff  
4 was able to last “several hours” between voids. Tr. 403. Dr. MacLeod ultimately  
5 diagnosed Plaintiff with chronic interstitial cystitis and opined that this condition  
6 would significantly interfere with the ability to perform one or more basic work  
7 related activities. Tr. 403.

8 The ALJ gave significant weight to most of Dr. MacLeod’s opinion. Tr. 31.  
9 However, the ALJ gave little weight to the portion of Dr. MacLeod’s opinion that  
10 Plaintiff would need “frequent interruptions to void” because, according to the  
11 ALJ, Dr. MacLeod acknowledged Plaintiff could wait several hours between voids,  
12 and thus she used the term “frequent” differently than it is used in the Social  
13 Security disability context.<sup>3</sup> Tr. 31. Plaintiff argues that the difference in  
14 definition is immaterial, because Dr. MacLeod’s opinion indicated Plaintiff needs  
15 to void more frequently than normal. ECF No. 15 at 14-15.

16 On review, it appears that while Dr. MacLeod noted that Plaintiff reported  
17 he needed to void every thirty minutes, Dr. MacLeod also stated that “notes”  
18 indicated that for the time period 1991-2001, Plaintiff could hold urine for up to  
19 several hours. It is not clear from the report that Dr. MacLeod endorsed either  
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21 <sup>3</sup>Neither party briefed the SSA definition of “frequent” and whether that  
22 definition was applicable to this analysis. In the Social Security context, the term  
23 “frequent,” describes how often a worker performs a certain task, and is defined as  
24 “from one-third to two-thirds of the time.” SSR 83-10; see *Gallant v. Heckler*, 753  
25 F.2d 1450 n.1 (9th Cir. Ariz. 1984). The Physical Evaluation completed by Dr.  
26 MacLeod contained a similar definition and defined “frequently” as “the person is  
27 able to perform the function for 2.5 to six (6) hours in an eight hour day. It is not  
28 necessary that performance be continuous.” Tr. 403.

1 estimate of Plaintiff's urinary frequency, but instead she simply opined Plaintiff  
2 would require "frequent" interruptions to void. Tr. 403. While the record is  
3 unclear on Dr. MacLeod's definition of "frequent," it is immaterial because the  
4 definition of this term is not dispositive. The doctor assessed that Plaintiff's need  
5 to urinate would pose "significant interference" with his ability to perform basic  
6 work activities. Tr. 403. The ALJ's conclusion that Dr. MacLeod "acknowledged  
7 Plaintiff could wait several hours between voids" is not supported by the record,  
8 and the ALJ's reliance upon the possible differences in definition of "frequent,"  
9 does not constitute a legitimate and specific reason to discount the opinion. On  
10 remand, the ALJ should reconsider Dr. MacLeod's opinion and provide an analysis  
11 in accordance with the standards announced in *Reddick*, 157 F.3d at 725.

#### 12 **4. LumOr Chet, ARNP**

13 Plaintiff argues that the ALJ erred by rejecting an opinion from LumOr  
14 Chet, ARNP, that indicated Plaintiff was limited to less than sedentary work. ECF  
15 No. 15 at 15.

16 On September 24, 2010, LumOr Chet, ARNP, completed a functional  
17 assessment form in which she opined that Plaintiff's "work function" was  
18 impaired, and he could stand for 1-2 hours and sit for only three hours in an eight-  
19 hour workday, thus concluding the Plaintiff was able to work less than an eight  
20 hour day. Tr. 1104. Ms. Chet noted that Plaintiff had a history of osteoarthritis of  
21 the knees and urinary urgency, frequency, a history of head trauma, and a  
22 delusional disorder. Tr. 1105.

23 The ALJ gave little weight to Ms. Chet's opinions in the September 24,  
24 2010, form. Tr. 32. The ALJ reasoned that no evidence of a severe knee  
25 impairment existed to support Ms. Chet's opinion. Tr. 32. Additionally, the ALJ  
26 found that the evidence indicated Plaintiff's urinary frequency and urgency was  
27 controlled, no evidence existed that Plaintiff suffered a head trauma, and Ms. Chet  
28 was not qualified to make a determination regarding Plaintiff's mental functioning.

1 Tr. 32.

2 Plaintiff provided recent medical records related to a knee impairment. On  
3 April 21, 2010, Thomas C. Kennedy, M.D., examined Plaintiff and noted he had  
4 “recurrent moderate to severe effusions of the left knee.” Tr. 1050. Dr. Kennedy  
5 aspirated Plaintiff’s knee fluid for testing. Tr. 1050. After reviewing the results,  
6 on May 13, 2010, Dr. Kennedy indicated Plaintiff has “evidence of bilateral  
7 patellofemoral syndrome with some evidence of mild arthritis. The possibility of a  
8 rheumatologic condition has not been completely ruled out.” Tr. 1047. Dr.  
9 Kennedy stated he would try to facilitate Plaintiff’s referral to a rheumatologist,  
10 but he believed it would be difficult due to Plaintiff’s insufficient insurance  
11 coverage. Tr. 1047. The record is devoid of evidence that Plaintiff was  
12 subsequently examined by a rheumatologist. On September 24, 2010, Plaintiff was  
13 examined by LumOr Chet, who noted Plaintiff’s complaints of knee pain,  
14 decreased mobility, swelling and weakness. Tr. 1108.

15 The evidence related to Plaintiff’s knee impairment indicates he suffered  
16 from recurrent episodes of swelling and pain. The cause of Plaintiff’s knee issue  
17 was not definitively diagnosed, beyond Dr. Kennedy’s suspicion of bilateral  
18 patellofemoral syndrome with evidence of mild arthritis. The ALJ did not question  
19 the testifying medical expert about Plaintiff’s knee impairment. Tr. 50-52. As a  
20 result, the evidence is unclear about whether Plaintiff’s knee issues constitute a  
21 severe impairment.

22 The ALJ also discounted Ms. Chet’s opinion in part because the evidence  
23 indicated Plaintiff’s urinary issues were “under control.” Tr. 32. The ALJ failed to  
24 provide an explanation or cite to a medical record that supports this conclusion.  
25 The record is replete with Plaintiff’s complaints about urination problems, and a  
26 chart note one month prior the administrative hearing reveals Plaintiff continued to  
27 seek treatment for urinary problems. Tr. 1108. As such, the record does not  
28 support the ALJ’s conclusion that Plaintiff’s urinary problems were controlled.

1 Near the end of the DSHS evaluation, Ms. Chet noted Plaintiff's history  
2 includes osteoarthritis, urinary problems, head trauma in 1985, and a delusional  
3 disorder. Tr. 1105. The ALJ found that the notation about Plaintiff's head trauma  
4 was not supported by evidence in the record, and that Ms. Chet was not qualified<sup>4</sup>  
5 to "make a determination" about Plaintiff's mental functioning. Tr. 32. While the  
6 record contains multiple references to Plaintiff's head trauma,<sup>5</sup> no medical records  
7 associated with that injury are included in this record. Notwithstanding that lack of  
8 evidence, it is not clear from Ms. Chet's notation that she in fact relied upon the  
9 occurrence of a head trauma in assessing Plaintiff's condition. It is similarly  
10 unclear that she made a "determination" about Plaintiff's mental functioning.  
11 Instead, it appears that these comments were tangential to Ms. Chet's opinion  
12 about Plaintiff's functional assessment, instead of evidence she relied upon in  
13 assessing Plaintiff's functional limitations.

14 On remand, the ALJ should seek a medical expert's opinion related to  
15 Plaintiff's knee impairment. Additionally, the ALJ should revisit Ms. Chet's  
16 opinion and provide a detailed analysis for the weight given to that opinion. *See*  
17 *Reddick*, 157 F.3d at 725.

18 ///

19 \_\_\_\_\_  
20 <sup>4</sup>The text of the ALJ's order states, "there is evidence Ms. Chet is qualified  
21 to make a determination as to claimant's mental functioning." Tr. 32. In  
22 reviewing the context, the ALJ is providing reasons why Ms. Chet's opinion was  
23 given little weight and, thus, the court deems it likely that the ALJ inadvertently  
24 omitted the word "no" before "evidence." If the omitted "no" is inserted before  
25 "evidence," the sentence reflects the ALJ's likely intent that Ms. Chet, a nurse  
26 practitioner, was not qualified to make a determination about Plaintiff's mental  
27 status.

28 <sup>5</sup>See, e.g., Tr. 457; 461; 666.



1           **5.     Nina Rapisarda, MSW**

2           Plaintiff argues that the ALJ erred by rejecting the 2008 assessment from  
3 Ms. Rapisarda. On April 8, 2008, Nina Rapisarda, MSW, examined Plaintiff and  
4 completed a Psychological/Psychiatric Evaluation. Tr. 974-77. In the evaluation,  
5 Ms. Rapisarda assessed Plaintiff with several marked and moderate-to-marked  
6 impairments on the short clinical rating scale. Tr. 975.

7           Ms. Rapisarda assessed Plaintiff with four marked cognitive factors in the  
8 ability to: (1) understand, remember and follow simple (one or two step)  
9 instructions; (2) understand, remember and follow complex (more than two step)  
10 instructions; (3) learn new tasks; and (4) exercise judgment and make decisions.  
11 Tr. 976. Ms. Rapisarda also assessed Plaintiff as moderately impaired in  
12 performing routine tasks and noted, “client appears very thought disordered.” Tr.  
13 976.

14           In social factors, Ms. Rapisarda assessed Plaintiff as severely impaired in his  
15 ability to respond appropriately to and tolerate the pressure and expectations of a  
16 normal work setting. Tr. 976. She also found Plaintiff was markedly limited in his  
17 ability to: (1) relate appropriately to co-workers and supervisors; (2) interact  
18 appropriately in public contacts; and (3) control physical or motor movements and  
19 maintain appropriate behavior. Tr. 976. Finally, Ms. Rapisarda indicated that at  
20 that time, Plaintiff was not on medication, and opined he “should be.” Tr. 976  
21 (emphasis in original).

22           The ALJ gave little weight to the opinion of April 8, 2008, opinion from Ms.  
23 Rapisarda. The ALJ reasoning included: (1) the third page of the evaluation was  
24 missing; (2) the remainder of the evaluation was “clearly based on the claimant’s  
25 self-report;” (3) Ms. Rapisarda was not a treating health provider; (4) the notations  
26 are “meager;” (5) no evidence exists that Plaintiff has bipolar disorder; and (6) no  
27 evidence exists that “clinical testing was performed.” Tr. 33.

28           The administrative record contains the third page of Ms. Rapisarda’s



1 evaluation. Tr. 976. The ALJ's reliance upon a missing page of a medical  
2 evaluation is troubling, as it is the duty of the ALJ to fully and fairly develop the  
3 record and to assure that the claimant's interests are considered. *See Brown v.*  
4 *Heckler*, 713 F.2d 441, 443 (9th Cir. 1983). The ALJ's rejection of a medical  
5 opinion due to a missing page – without an apparent attempt to locate the available  
6 page – is a failure to fulfill his or her duty. Because the ALJ failed to review all  
7 pages of the evaluation, she failed to fully analyze the opinion. On remand, the  
8 ALJ is directed to analyze Ms. Rapisarda's full evaluation and provide a detailed  
9 and thorough explanation for the weight afforded to it. *See Reddick*, 157 F.3d at  
10 725.

#### 11 **B. Credibility**

12 In his argument related to the medical opinions, Plaintiff included a single  
13 paragraph alleging the ALJ failed to provide proper reasoning in her credibility  
14 analysis. ECF No. 15 at 16. Plaintiff's analysis is based upon a single sentence  
15 asserting "the ALJ offered little more than vague assertions that his allegations are  
16 not consistent with the evidence or his activities of daily living." ECF No. 15 at  
17 16. Plaintiff fails to provide argument, analysis, or citation to the record and  
18 citation to legal authority. As Defendant points out, Plaintiff's argument is not  
19 adequately briefed. ECF No. 17 at 22. *See Carmickle v. Comm'r of the Soc. Sec.*  
20 *Admin.*, 533 F.3d 1155, 1161 n.2 (9<sup>th</sup> Cir. 2008) (court will not address issue where  
21 plaintiff failed to argue the issue with specificity). In light of Plaintiff's inadequate  
22 briefing, and the necessity of remand, the court will not address the ALJ's  
23 credibility determination in this opinion.

#### 24 **C. Step Four**

25 Plaintiff argues that the ALJ erred by finding that Plaintiff's knee pain,  
26 arthritis, frequent need to void and mental issues were not limiting. ECF No. 15 at  
27 17-18. Additionally, Plaintiff argues, the ALJ failed to identify the specific  
28 demands of Plaintiff's past relevant work and compare those demands to his

specific limitations. ECF No. 15 at 19. Because the ALJ will reconsider the medical opinions on remand, the ALJ will then conduct a new step four and step five assessment.

### CONCLUSION

Having reviewed the record and the ALJ's findings, the court concludes the ALJ's decision is not supported by substantial evidence and is based on legal error. On remand, the ALJ should reconsider the medical opinions and support the findings regarding those opinions with specific, legitimate evidence in the record. The ALJ should also review the credibility analysis and determine if a new assessment is necessary. The ALJ should also obtain a medical expert's opinion related to Plaintiff's knee impairment, and perform a new step four and step five analysis. Accordingly,

### IT IS ORDERED:

1. Plaintiff's Motion for Summary Judgment, **ECF No. 15**, is **GRANTED** and remanded for additional proceedings.

2. Defendant's Motion for Summary Judgment, **ECF No. 17**, is **DENIED**.

3. An application for attorney fees may be filed by separate motion.

The District Court Executive is directed to file this Order and provide a copy to counsel for Plaintiff and Defendant. Judgment shall be entered for Plaintiff and the file shall be CLOSED.

DATED January 27, 2014.



A handwritten signature in black ink, appearing to be "M" or "Rodgers", written over a horizontal line.

JOHN T. RODGERS  
UNITED STATES MAGISTRATE JUDGE